

Complaint Data to be displayed by Stock Broker
InCred Capital Wealth Portfolio Managers Private Limited
 (SEBI Reg. No: Stock Broker - INZ000294632 | NSE Member Code – 90211 | BSE Member Code – 6739)

Data for the month ending – September 2022

| Sr. No | Received From | Carried Forward from previous month | Received during the month | Total Pending | Resolved | Pending at the end of the month | | Average Resolution on time (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|------------|---------------------------------|--------------------------------|--------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 4 | Other Sources | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | NIL | NIL |

Trend of monthly disposal of complaints

| Sr. No | Month | Carried forward from previous month | Received | Resolved | Pending |
|--------|----------|-------------------------------------|----------|----------|---------|
| 1 | Jun-21 | NIL | NIL | NIL | NIL |
| 2 | Jul-21 | NIL | NIL | NIL | NIL |
| 3 | Aug-21 | NIL | NIL | NIL | NIL |
| 4 | Sep-21 | NIL | NIL | NIL | NIL |
| 5 | Oct-21 | NIL | NIL | NIL | NIL |
| 6 | Nov-21 | NIL | NIL | NIL | NIL |
| 7 | Dec-21 | NIL | NIL | NIL | NIL |
| 8 | Jan-22 | NIL | NIL | NIL | NIL |
| 9 | Feb-22 | NIL | NIL | NIL | NIL |
| 10 | Mar-22 | NIL | NIL | NIL | NIL |
| 11 | Apr- 22 | NIL | NIL | NIL | NIL |
| 12 | May-22 | NIL | NIL | NIL | NIL |
| 13 | June-22 | NIL | NIL | NIL | NIL |
| 14 | July -22 | NIL | NIL | NIL | NIL |
| 15 | Aug -22 | NIL | NIL | NIL | NIL |
| 16 | Sep -22 | NIL | NIL | NIL | NIL |
| | | TOTAL | NIL | NIL | NIL |

Trend of Annual Disposal of Complaints

| Sr. No | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|-------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-2018 § | Not Applicable | Not Applicable | Not Applicable | Not Applicable |
| 2 | 2018-2019 § | Not Applicable | Not Applicable | Not Applicable | Not Applicable |
| 3 | 2019-2020 § | Not Applicable | Not Applicable | Not Applicable | Not Applicable |
| 4 | 2020-2021 | NIL | NIL | NIL | NIL |
| 5 | 2021-2022 | NIL | NIL | NIL | NIL |
| 6 | 2022-23# | NIL | NIL | NIL | NIL |

§ The Company has received the certificate of registration as Stock Broker w.e.f. April 30, 2020.

The relevant period (i.e. Sep 2022 to March 2023) is not completed.