### Complaint Data to be displayed by Merchant Banker InCred Capital Wealth Portfolio Managers Private Limited (SEBI Reg. No: INMoooo12865)

## PUBLIC ISSUE OF EQUITY

### Data for the month ending – January 2023

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending During the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1.	Directly from	NIL	NIL	NIL	NIL	NIL	NIL
	Investors						
2.	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	
3.	Stock Exchanges(if	NIL	NIL	NIL	NIL	NIL	
	relevant)						
4.	Other Sources (if	NIL	NIL	NIL	NIL	NIL	
	any)						
	Grand Total	NIL	NIL	NIL	NIL	NIL	

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1.	Sep 2022	NIL	NIL	NIL	NIL
2.	Oct 2022	NIL	NIL	NIL	NIL
3.	Nov 2022	NIL	NIL	NIL	NIL
4.	Dec 2022	NIL	NIL	NIL	NIL
5.	Jan 2023	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

SN	Year	Carried forward From previous	Received during The particularyear	Resolved during The particularyear	Pending at the end of the particular
		year			year
1.	2021	NIL	NIL	NIL	NIL
2.	2022	NIL	NIL	NIL	NIL
3.	2023 <sup>@</sup>	NIL	NIL	NIL	NIL
4.	2024*	-	-	-	-
5۰	2025*	-	-	-	-
	Grand Total	NIL	NIL	NIL	NIL

 $^{@}$  The relevant period (i.e. February 2023 to December 2023) has not been completed.

\* Data shall be updated after the completion of respective calendar year

### Complaint Data to be displayed by Merchant Banker InCred Capital Wealth Portfolio Managers Private Limited (SEBI Reg. No: INM000012865)

#### PUBLIC ISSUE OF DEBT SECURITIES

#### Data for the month ending – January 2023

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending During the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1.	Directly from	NIL	NIL	NIL	NIL	NIL	NIL
	Investors						
2.	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	
3.	Stock Exchanges(if	NIL	NIL	NIL	NIL	NIL	
	relevant)						
4.	Other Sources (if	NIL	NIL	NIL	NIL	NIL	
	any)						
	Grand Total	NIL	NIL	NIL	NIL	NIL	

### Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous	Received during the particular	Resolved during the particular	Pending at the end of the particular
		month	month	month	month
1.	Sep 2022	NIL	NIL	NIL	NIL
2.	Oct 2022	NIL	NIL	NIL	NIL
3.	Nov 2022	NIL	NIL	NIL	NIL
4.	Dec 2022	NIL	NIL	NIL	NIL
5.	Jan 2023	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

### Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

SN	Year	Carried forward From previous	Received during The particularyear	Resolved during The particularyear	Pending at the end of the particular
		year			year
1.	2021	NIL	NIL	NIL	NIL
2.	2022	NIL	NIL	NIL	NIL
3.	2023 <sup>@</sup>	NIL	NIL	NIL	NIL
4.	2024*	-	-	-	-
5۰	2025*	-	-	-	-
	Grand Total	NIL	NIL	NIL	NIL

<sup>@</sup>The relevant period (i.e. February 2023 to December 2023) has not been completed.

\* Data shall be updated after the completion of respective calendar year

### Complaint Data to be displayed by Merchant Banker InCred Capital Wealth Portfolio Managers Private Limited (SEBI Reg. No: INMoooo12865)

## PRIVATE PLACEMENT OF DEBT SECURITIES

## Data for the month ending – January 2023

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending During the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1.	Directly from	NIL	NIL	NIL	NIL	NIL	NIL
	Investors						
2.	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	
3.	Stock Exchanges(if	NIL	NIL	NIL	NIL	NIL	
	relevant)						
4.	Other Sources (if	NIL	NIL	NIL	NIL	NIL	
	any)						
	Grand Total	NIL	NIL	NIL	NIL	NIL	

#### Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1.	Sep 2022	NIL	NIL	NIL	NIL
2.	Oct 2022	NIL	NIL	NIL	NIL
3.	Nov 2022	NIL	NIL	NIL	NIL
4.	Dec 2022	NIL	NIL	NIL	NIL
5۰	Jan 2023	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

SN	Year	Carried forward From previous	Received during The particularyear	Resolved during The particularyear	Pending at the end of the particular
		year			year
1.	2021	NIL	NIL	NIL	NIL
2.	2022	NIL	NIL	NIL	NIL
3.	2023 <sup>@</sup>	NIL	NIL	NIL	NIL
4.	2024 <sup>*</sup>	-	-	-	-
5۰	2025*	-	-	-	-
	Grand Total	NIL	NIL	NIL	NIL

<sup>(a)</sup>The relevant period (i.e. February 2023 to December 2023) has not been completed. \* Data shall be updated after the completion of respective calendar year

### Complaint Data to be displayed by Merchant Banker InCred Capital Wealth Portfolio Managers Private Limited (SEBI Reg. No: INM000012865)

### **CONSOLIDATED INVESTOR COMPLAINTS DATA**

## Data for the month ending – January 2023

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending During the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1.	Directly from	NIL	NIL	NIL	NIL	NIL	NIL
	Investors						
2.	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	
3.	Stock Exchanges(if	NIL	NIL	NIL	NIL	NIL	
	relevant)						
4.	Other Sources (if	NIL	NIL	NIL	NIL	NIL	
	any)						
	Grand Total	NIL	NIL	NIL	NIL	NIL	

### Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1.	Sep 2022	NIL	NIL	NIL	NIL
2.	Oct 2022	NIL	NIL	NIL	NIL
3.	Nov 2022	NIL	NIL	NIL	NIL
4.	Dec 2022	NIL	NIL	NIL	NIL
5۰	Jan 2023	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

SN	Year	Carried forward From previous	Received during The particularyear	Resolved during The particularyear	Pending at the end of the particular
		year			year
1.	2021	NIL	NIL	NIL	NIL
2.	2022	NIL	NIL	NIL	NIL
3.	2023 <sup>@</sup>	NIL	NIL	NIL	NIL
4.	2024 <sup>*</sup>	-	-	-	-
5۰	2025*	-	-	-	-
	Grand Total	NIL	NIL	NIL	NIL

<sup>(a)</sup>The relevant period (i.e. February 2023 to December 2023) has not been completed. \* Data shall be updated after the completion of respective calendar year