

**Complaint Data to be displayed by Merchant Banker
InCred Capital Wealth Portfolio Managers Private Limited
(SEBI Reg. No: INM000012865)**

PUBLIC ISSUE OF EQUITY

Data for the month ending – September 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending During the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1.	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2.	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	
3.	Stock Exchanges(if relevant)	NIL	NIL	NIL	NIL	NIL	
4.	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	
	Grand Total	NIL	NIL	NIL	NIL	NIL	

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1.	May 2022	NIL	NIL	NIL	NIL
2.	June 2022	NIL	NIL	NIL	NIL
3.	July 2022	NIL	NIL	NIL	NIL
4.	Aug 2022	NIL	NIL	NIL	NIL
5.	Sep 2022	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

SN	Year	Carried forward From previous year	Received during The particular year	Resolved during The particular year	Pending at the end of the particular year
1.	2021	NIL	NIL	NIL	NIL
2.	2022 [@]	NIL	NIL	NIL	NIL
3.	2023 [*]	-	-	-	-
4.	2024 [*]	-	-	-	-
5.	2025 [*]	-	-	-	-
	Grand Total	NIL	NIL	NIL	NIL

[@]The relevant period (i.e. Oct 2022 to December 2022) has not been completed.

^{*} Data shall be updated after the completion of respective calendar year

**Complaint Data to be displayed by Merchant Banker
InCred Capital Wealth Portfolio Managers Private Limited
(SEBI Reg. No: INM000012865)**

PUBLIC ISSUE OF DEBT SECURITIES

Data for the month ending – September 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending During the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1.	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2.	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	
3.	Stock Exchanges(if relevant)	NIL	NIL	NIL	NIL	NIL	
4.	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	
	Grand Total	NIL	NIL	NIL	NIL	NIL	

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1.	May 2022	NIL	NIL	NIL	NIL
2.	June 2022	NIL	NIL	NIL	NIL
3.	July 2022	NIL	NIL	NIL	NIL
4.	Aug 2022	NIL	NIL	NIL	NIL
5.	Sep 2022	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

SN	Year	Carried forward From previous year	Received during The particular year	Resolved during The particular year	Pending at the end of the particular year
1.	2021	NIL	NIL	NIL	NIL
2.	2022 [@]	NIL	NIL	NIL	NIL
3.	2023 [*]	-	-	-	-
4.	2024 [*]	-	-	-	-
5.	2025 [*]	-	-	-	-
	Grand Total	NIL	NIL	NIL	NIL

[@]The relevant period (i.e. Oct 2022 to December 2022) has not been completed.

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PRIVATE PLACEMENT OF DEBT SECURITIES

Data for the month ending – September 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending During the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1.	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2.	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	
3.	Stock Exchanges(if relevant)	NIL	NIL	NIL	NIL	NIL	
4.	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	
	Grand Total	NIL	NIL	NIL	NIL	NIL	

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1.	May 2022	NIL	NIL	NIL	NIL
2.	June 2022	NIL	NIL	NIL	NIL
3.	July 2022	NIL	NIL	NIL	NIL
4.	Aug 2022	NIL	NIL	NIL	NIL
5.	Sep 2022	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

SN	Year	Carried forward From previous year	Received during The particular year	Resolved during The particular year	Pending at the end of the particular year
1.	2021	NIL	NIL	NIL	NIL
2.	2022 [@]	NIL	NIL	NIL	NIL
3.	2023 [*]	-	-	-	-
4.	2024 [*]	-	-	-	-
5.	2025 [*]	-	-	-	-
	Grand Total	NIL	NIL	NIL	NIL

[@]The relevant period (i.e. Oct 2022 to December 2022) has not been completed.

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CONSOLIDATED INVESTOR COMPLAINTS DATA

Data for the month ending – September 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending During the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1.	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2.	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	
3.	Stock Exchanges(if relevant)	NIL	NIL	NIL	NIL	NIL	
4.	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	
	Grand Total	NIL	NIL	NIL	NIL	NIL	

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1.	May 2022	NIL	NIL	NIL	NIL
2.	June 2022	NIL	NIL	NIL	NIL
3.	July 2022	NIL	NIL	NIL	NIL
4.	Aug 2022	NIL	NIL	NIL	NIL
5.	Sep 2022	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

SN	Year	Carried forward From previous year	Received during The particular year	Resolved during The particular year	Pending at the end of the particular year
1.	2021	NIL	NIL	NIL	NIL
2.	2022 [@]	NIL	NIL	NIL	NIL
3.	2023 [*]	-	-	-	-
4.	2024 [*]	-	-	-	-
5.	2025 [*]	-	-	-	-
	Grand Total	NIL	NIL	NIL	NIL

[@]The relevant period (i.e. Oct 2022 to December 2022) has not been completed.

^{*} Data shall be updated after the completion of respective calendar year

