

**Complaint Data to be displayed by Merchant Banker
InCred Capital Wealth Portfolio Managers Private Limited
(SEBI Reg. No: INM000012865)**

PUBLIC ISSUE OF EQUITY

Data for the month ending – October 2023

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|-------------------------------------|--------------------------------------|--------------------------------------|---|------------------------------|-----------------------------------|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|--------------------|-------------------------------------|--------------------------------------|--------------------------------------|--|
| 1. | June 2023 | NIL | NIL | NIL | NIL |
| 2. | July 2023 | NIL | NIL | NIL | NIL |
| 3. | August 2023 | NIL | NIL | NIL | NIL |
| 4. | September 2023 | NIL | NIL | NIL | NIL |
| 5. | October 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 3 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous year | Received during The partularyear | Resolved during The partularyear | Pending at the end of the particular year |
|----|--------------------|------------------------------------|----------------------------------|----------------------------------|---|
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

[@]The relevant period (i.e. November 2023 to December 2023) has not been completed.

**Complaint Data to be displayed by Merchant Banker
InCred Capital Wealth Portfolio Managers Private Limited
(SEBI Reg. No: INM000012865)**

PUBLIC ISSUE OF DEBT SECURITIES

Data for the month ending – October 2023

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|-------------------------------------|--------------------------------------|--------------------------------------|---|------------------------------|-----------------------------------|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|--------------------|-------------------------------------|--------------------------------------|--------------------------------------|--|
| 1. | June 2023 | NIL | NIL | NIL | NIL |
| 2. | July 2023 | NIL | NIL | NIL | NIL |
| 3. | August 2023 | NIL | NIL | NIL | NIL |
| 4. | September 2023 | NIL | NIL | NIL | NIL |
| 5. | October 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 3 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous year | Received during The partularyear | Resolved during The partularyear | Pending at the end of the particular year |
|----|--------------------|------------------------------------|----------------------------------|----------------------------------|---|
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

[@]The relevant period (i.e. November 2023 to December 2023) has not been completed.

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PRIVATE PLACEMENT OF DEBT SECURITIES

Data for the month ending – October 2023

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|-------------------------------------|--------------------------------------|--------------------------------------|---|------------------------------|-----------------------------------|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|--------------------|-------------------------------------|--------------------------------------|--------------------------------------|--|
| 1. | June 2023 | NIL | NIL | NIL | NIL |
| 2. | July 2023 | NIL | NIL | NIL | NIL |
| 3. | August 2023 | NIL | NIL | NIL | NIL |
| 4. | September 2023 | NIL | NIL | NIL | NIL |
| 5. | October 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 3 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous year | Received during The partularyear | Resolved during The partularyear | Pending at the end of the particular year |
|----|--------------------|------------------------------------|----------------------------------|----------------------------------|---|
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

[@]The relevant period (i.e. November 2023 to December 2023) has not been completed.

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CONSOLIDATED INVESTOR COMPLAINTS DATA

Data for the month ending – October 2023

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|-------------------------------------|--------------------------------------|--------------------------------------|---|------------------------------|-----------------------------------|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|--------------------|-------------------------------------|--------------------------------------|--------------------------------------|--|
| 1. | June 2023 | NIL | NIL | NIL | NIL |
| 2. | July 2023 | NIL | NIL | NIL | NIL |
| 3. | August 2023 | NIL | NIL | NIL | NIL |
| 4. | September 2023 | NIL | NIL | NIL | NIL |
| 5. | October 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 3 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous year | Received during The partularyear | Resolved during The partularyear | Pending at the end of the particular year |
|----|--------------------|------------------------------------|----------------------------------|----------------------------------|---|
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

[@]The relevant period (i.e. November 2023 to December 2023) has not been completed.