

Complaint Data to be displayed by Stock Broker
InCred Capital Wealth Portfolio Managers Private Limited
 (SEBI Reg. No: Stock Broker - INZ000294632 | NSE Member Code - 90211 | BSE Member Code - 6739)

Data for the month ending - Nov 2023

| Sr. No | Received From | Carried Forward from previous month | Received during the month | Total Pending | Resolved | Pending at the end of the month | | Average Resolution on time (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|------------|---------------------------------|--------------------------------|--------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 4 | Other Sources | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | NIL | NIL |

Trend of monthly disposal of complaints

| Sr. No | Month | Carried forward from previous month | Received | Resolved | Pending |
|--------|--------|-------------------------------------|----------|----------|---------|
| 1 | Aug-22 | NIL | NIL | NIL | NIL |
| 2 | Sep-22 | NIL | NIL | NIL | NIL |
| 3 | Oct-22 | NIL | NIL | NIL | NIL |
| 4 | Nov-22 | NIL | NIL | NIL | NIL |
| 5 | Dec-22 | NIL | NIL | NIL | NIL |
| 6 | Jan-23 | NIL | NIL | NIL | NIL |
| 7 | Feb-23 | NIL | NIL | NIL | NIL |
| 8 | Mar-23 | NIL | NIL | NIL | NIL |
| 9 | Apr-23 | NIL | NIL | NIL | NIL |
| 10 | May-23 | NIL | NIL | NIL | NIL |
| 11 | Jun-23 | NIL | NIL | NIL | NIL |
| 12 | Jul-23 | NIL | NIL | NIL | NIL |
| 13 | Aug-23 | NIL | NIL | NIL | NIL |
| 14 | Sep-23 | NIL | NIL | NIL | NIL |
| 15 | Oct-23 | NIL | NIL | NIL | NIL |
| 16 | Nov-23 | NIL | NIL | NIL | NIL |
| | | TOTAL | NIL | NIL | NIL |

Trend of Annual Disposal of Complaints

| Sr. No | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-2018 \$ | Not Applicable | Not Applicable | Not Applicable | Not Applicable |
| 2 | 2018-2019 \$ | Not Applicable | Not Applicable | Not Applicable | Not Applicable |
| 3 | 2019-2020 \$ | Not Applicable | Not Applicable | Not Applicable | Not Applicable |
| 4 | 2020-2021 | NIL | NIL | NIL | NIL |
| 5 | 2021-2022 | NIL | NIL | NIL | NIL |
| 6 | 2022-23 | NIL | NIL | NIL | NIL |

\$ The Company has received the certificate of registration as Stock Broker w.e.f. April 30, 2020.