Date:

Format of Declaration of Beneficial Owners

(As pe SEBI circular no.CIR/MIRSD/2/2013 dated January 24,2013 read with Prevention of Money-laundering (Maintenance of Records) Rules, 2005, on March 07, 2023)

I. For clients other than individual and trust

A- Controlling ownership interest through capital & profits (Refer Note 1)

Sr No.	Name of the natural person	% of Ownership
1		
2		
3		

B- Control over the juridical person through other means (Refer Note 2)

(Applicable where no natural person exerts control as per A above)

Sr No.	Name of the natural person	Details of control through voting rights, agreements, arrangements or in any other manner
1		
2		

C- Name of the natural person holding position of Senior Managing Official.

(Applicable where no natural person is identified in A & B above)

Sr No.	Name of the natural person	Position/ designation of Senior Managing official
1		
2		

II. For clients which is trust

Name of Trust	
Trustee	1.
Protector	1.
The beneficiaries with 15% or more interest in the trust	1.
Name (s) of any other natural person exercising. Ultimate effective control over the trust through a chain of control or ownership	1. 2. 3.

Notes:

1) Controlling Ownership interest through capital & profits- List of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Sr No.	Where the juridical person is	Ownership of/ entitlement to
1	A Company	More than 10% shares or capital or profits
2	A Partnership	More than 10% capital or profits
3	An unincorporated association or	More than 10% of the property of capital or profits
	body of individuals (trust, society,	
	association of persons etc.)	

- 2) Control over the juridical person through other means- Where there exists doubt under above clause as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership, provide list of natural person exercising control through other means i.e. through voting rights, agreement, arrangements or any other manner.
- 3) Providing information about beneficial ownership is not applicable for a Company listed on a stock exchange or is a majority owned subsidiary of such a listed Company.