

DIS ANNEXURE - OFF-MARKET/INTER-DEPOSITORY TRANSFER OF SECURITIES

DATE				-														
SLIP NO.																		
BO ID	1	2	0	9	1	0	0	0										
OFFMARKET TRANSFER REASON (TICK ANY ONE)	<input type="checkbox"/> For Off-Market Sale / Purchase # <input type="checkbox"/> Transfer between specified family members – Relationship ***: _____ <input type="checkbox"/> Transfer between Minor & Guardian A/cs <input type="checkbox"/> Transfer between Firm & its Partner <input type="checkbox"/> Transfer between Company & its Director <input type="checkbox"/> Others - Reversal of off-market effected before 03/08/2019 for reason not included herewith * <input type="checkbox"/> Others – Return of client’s securities by NBFC for receipts before 03/08/2019 * <input type="checkbox"/> Gift <input type="checkbox"/> Transfer to Own Accounts <input type="checkbox"/> Margin to Stock-broker/PCM <input type="checkbox"/> Margin returned by Stock-broker/PCM <input type="checkbox"/> Payout – On payments for unpaid securities <input type="checkbox"/> Erroneous transfer pertaining to Client securities <input type="checkbox"/> Meeting Legitimate dues of Stock-broker									<input type="checkbox"/> Transposition <input type="checkbox"/> Trust to Beneficiaries <input type="checkbox"/> On HUF dissolution to Karta/Coparceners <input type="checkbox"/> Merger/De-merger of Corporate entity <input type="checkbox"/> Open Offer for Acquisition <input type="checkbox"/> For Buy-Back <input type="checkbox"/> Implementation of Government/Regulatory order <input type="checkbox"/> Donation <input type="checkbox"/> ESOP/transfer to Employee <input type="checkbox"/> Refund of securities by IEPF Authority <input type="checkbox"/> Transfer to PMS Account ** <input type="checkbox"/> Transfer from PMS Account ** <input type="checkbox"/> On-Market IDT Transfers ** <input type="checkbox"/> Conversion of Depository Receipts to underlying securities & vice-versa <input type="checkbox"/> Redemption of Mutual Fund Units <input type="checkbox"/> Dissolution / Re-structuring / Winding up of Partnership Firm/Trust								

*- Reason Codes to be discontinued wef September 30th 2019 ** - Reason Codes to be discontinued wef September 14th 2019.

***- Relationships are limited to Spouse/Father/Mother/Brother/Sister/Son/Son’s wife/Daughter/Daughter’s husband/Members of same HUF

THIS PART IS TO BE FILLED MANDATORILY IF REASON CODE SELECTED ABOVE IS “FOR OFF-MARKET SALE/PURCHASE”

ISIN CODE	ISIN NAME	QUANTITY	CONSIDERATION
IN			Rs.
IN			Rs.
IN			Rs.
IN			Rs.
IN			Rs.
CONSIDERATION AMOUNT (TOTAL)			Rs.
PAYMENT DETAILS			
PAYMENT MODE (CHEQUE/NEFT/RTGS)			
DATE OF ISSUE / TRANSFER			
CHEQUE No. / REFERENCE No. (NEFT/RTGS)			
TRANSFEREE’S BANK ACCOUNT NUMBER §			
TRANSFEREE’S BANK NAME §			
TRANSFEREE’S BRANCH NAME §			

§ - Details of Bank account from where account-holder received this consideration amount

	FIRST/SOLE HOLDER	SECOND HOLDER	THIRD HOLDER
NAME			
SIGNATURES (as in DP records)	✍	✍	✍